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NO. 0936 P. 1/16

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- 1 -

Application No. 09/917,633

Docket No. 740756-2345

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Shunpei YAMAZAKI et al.
Application No. 09/917,633
Filed: July 31, 2001
For: SEMICONDUCTOR DEVICE AND METHOD OF
FABRICATING THE SAME

)
) Confirmation No.: 3382
) Examiner: Thien F. Tran
) Group Art Unit: 2811
)

**CERTIFICATE OF MAILING OR TRANSMISSION
[37 CFR 1.8(a)]**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Mail Stop _____, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or being facsimile transmitted to the USPTO at 571-273-8300, on September 4, 2007.

Signature: Deborah Movahhedi
Name: Deborah Movahhedi

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Applicants wish to bring to the Examiner's attention that JP 61-201460 was cited in a counterpart Japanese application serial No. 2005-025325 on June 5, 2007.

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Application No. 09/917,633
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It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form and return it to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

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Suite 900
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Washington, DC 20004-2128

Telephone: (202) 585-8000

SEP 04 2007

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/917,633
				Filing Date	July 31, 2001
				First Named Inventor	Shunpei YAMAZAKI et al.
				Art Unit	2811
				Examiner Name	Thien F. Tran
Sheet	1	of	1	Attorney Docket Number	740756-2345

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ²	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ³ (if known)			
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ²	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ⁵ Number ⁶	Kind Code ³ (if known)				
		JP 61-201460		09-06-1986			FULL

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ²	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁴

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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